Case Study

Turning regulatory complexity into continuous confidence

Industry: Global Tier-1 Bank

Business Overview

A Tier-1 retail and commercial bank operating across multiple jurisdictions, serving millions of personal and business customers. Decades of growth and acquisition had left the bank with a complex, hybrid technology estate spanning legacy on-premise infrastructure, public cloud and a large network of third-party vendors — all of it in scope for overlapping regulatory frameworks.

Pain points/challenges

01 Compliance teams spent weeks manually gathering evidence ahead of every regulatory exam

02 No single view of control effectiveness across cloud, on-premise and third-party environments

03 Rising regulatory scrutiny under DORA left little room for reactive, point-in-time compliance

Business Challenges

As a systemically important bank, the organisation is required to demonstrate operational resilience and control effectiveness to regulators and its board on an ongoing basis — not just at audit time.

- Disparate legacy, cloud and third-party systems with no unified compliance view
- Manual, spreadsheet-based evidence collection across every audit cycle
- Significant overlap between DORA, PCI DSS, SOX and ISO 27001 controls
- Limited real-time visibility of control failures across the estate

> “We went from scrambling before every audit to knowing, every day, exactly where we stand. Quod Orbis gives our board the real-time assurance regulators now expect”

Group Chief Risk Officer, Tier-1 Retail & Commercial Bank

QUOD ORBIS

Our Solution

The Quod Orbis CCM platform was deployed to connect the bank's cloud, on-premise and third-party systems into a single, continuously monitored compliance dashboard. Controls were mapped once and applied simultaneously across DORA, PCI DSS, SOX and ISO 27001, removing the duplicated effort of managing each framework in isolation.

Our team managed the full implementation as part of the managed service — configuring dashboards, mapping controls to each framework and automating evidence collection. The bank reached live monitoring on its first framework within 30 days, with full multi-framework coverage following shortly after.

The Results

75% reduction in audit preparation Across all four regulatory frameworks in scope	**Automated evidence collection** Replacing manual, spreadsheet-based processes
Real-time, board-level visibility Of compliance posture across every business unit	**Faster remediation** Of control failures before they escalate into breaches
One control, four frameworks A single mapped control now satisfies DORA, PCI DSS, SOX and ISO 27001	**Always audit-ready** Reduced reliance on point-in-time compliance reviews

Get in touch

Facing similar regulatory complexity across your organisation? Talk to our team about bringing continuous, automated compliance to your business.
Contact@quodorbis.com